Umbrella Certification Program Procedures

6/1/2018

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I - Introduction

The Umbrella Certification Program (UCP) is managed by the Material Testing & Certification Section's Quality Assurance Unit in an effort to improve the efficiency of the VTrans' certification process through transferring document control from VTrans to the material supplier.

The UCP is not intended to replace or modify certification requirements but transfer the responsibility of maintaining certification records and ensuring they are complete from VTrans to the supplier.

II – Eligibility

Eligible sections, pay items and material codes are limited to those outlined in Appendix A – Eligible Sections, Pay Items & Material Codes. Appendix A – Eligible Sections, Pay Items & Material Codes will be updated, as necessary, to remove or add sections, pay items and materials as deemed appropriate by VTrans. Project specific Special Provisions will be considered upon request on a project specific basis.

III – Participation

Participation in the UCP is limited to those entities, approved by VTrans, that supply the eligible pay items and/or materials (Appendix A – *Eligible Sections*, *Pay Items & Material Codes*) directly to VTrans projects or to the contractor installing the product on a VTrans project.

IV - Process

a. Supplier Request

The supplier request allows suppliers to indicate their interest in participating in the UCP, as well as to allow VTrans to evaluate the supplier's ability to meet the requirements of the UCP.

For consideration to be included in the UCP, Suppliers must submit an Appendix B - Supplier Request Form, including all supporting documentation as required.

If the suppliers inventory includes materials that do not meet the *Standard Specifications for Construction*, the supplier shall include an inventory plan describing how materials will be stockpiled to ensure only materials that meet the *Standard Specifications for Construction* are incorporated on VTrans' projects.

b. Review of Supplier Request

VTrans will review all supplier requests for inclusion in the UCP. VTrans will provide a formal response to the supplier request indicating approval or denial, including reasons for denial if applicable. Suppliers approved for the UCP will be included in the UCP until the end of the calendar year of which they are approved. Subsequent supplier request will need to be resubmitted annually.

c. Project Declaration

The project declaration allows suppliers/contractors to notify VTrans of their intent to use the UCP to satisfy the Acceptance requirements for certain pay items and/or material codes for a given project, including the quantity which will be used.

The supplier/contractor shall be responsible for submission of the Appendix C - *Project Declaration Form(s)*. The supplier/contractor shall submit as many Appendix C - *Project Declaration Forms* as needed for each project so that all Sections, Pay Items, and Material Codes required for the project are satisfied. If project quantities increase after the initial submittal of the Appendix C - *Project Declaration Form(s)* additional Appendix C - *Project Declaration Forms* shall be submitted, covering only the increase of quantity. The Appendix C - *Project Declaration Forms* shall be submitted prior to installation of the material.

VTrans will review all Appendix C - *Project Declaration Forms* for compliance, and any submittal determined to be out of compliance will not be accepted and the supplier will be required to submit updated forms correcting all issues.

d. Certification Documentation

The approved supplier shall be responsible for maintaining the documentation of all certification requirements as specified in the *Materials Sampling Manual* and Contract Documents, including "Buy America" and AREMA Declarations. All certifications shall be stored and accessible at the physical address contained in the supplier request and as approved.

All certification Documentation shall me maintained for a minimum of 3 years after the date of final youcher.

e. Documentation Audit

VTrans will utilize a documentation audit to verify the Supplier is documenting all certifications as required. VTrans will conduct a minimum of two audits for each supplier participating in the UCP annually.

VTrans will visit the supplier's facility and provide the supplier with a list of pay items and/or material codes for audit. The supplier shall provide VTrans representatives with all certifications as required for the pay items/materials codes requested during the audit. VTrans representatives will inspect all certifications for compliance. All documentation shall be provided to VTrans' representatives at the time of the audit, submission after the audit will not be accepted.

For those suppliers where an inventory plan was required, stockpiles at the participating facility shall be available for inspection by VTrans' representatives to ensure the supplier is in conformance with the inventory plan.

Results of an audit will be considered "Compliant" if all required documentation is accurate and provided to VTrans' representatives at the time of the audit. A "Non-Compliant" result will be issued when any of the requested documentation cannot be produced at the time of the audit or is in accurate.

Information will be compiled on-site, returned to VTrans and incorporated into a final report that will be provided to the supplier and documented in VTrans UCP files.

In addition to VTrans, all documentation shall be available, upon demand, to FHWA representatives as requested.

f. Final Report

A final report will be issued for each audit identifying the results of the audit. Where final

reports find the supplier to be compliant with the UCP no further action will be needed. Where final reports find the supplier to be non-compliant with the UCP the supplier shall provide VTrans with a corrective action and will be subject to a follow-up audit to verify the corrective action was completed (a follow-up audit will not be considered one of the two minimum annual audits). Two consecutive non-compliant final reports will result in the removal of the supplier from the UCP.

When Suppliers have been removed from the UCP, VTrans may require Suppliers/Contractors to submit all certification documentation for all projects where the materials have been installed through the UCP, for the calendar year to date.

Nicholas Van Den Berg, P.E.	Date	
Material Testing & Certifications Manager		

V

– Signatories

APPENDIX A

Eligible Sections, Pay Items & Material Codes

Umbrella Certification Program Eligible Sections, Pay Items & Material Codes

Pay Item Number	Pay Item Name	Unit	Default Material Codes	Material Description	Certification Method	Buy America	
			714.04	Carbon Steel Bolts and Nuts	Type D	YES	
	Dridge Deiling		714.07	Anchor Bolts, Bridge Railing	Type D	YES	
525.33	Bridge Railing, Galvanized 2 Rail Box	Linear	728.04	Delineation Devices	Type A		
525.55	Beam	Foot	731.02	Bearing Pads	Type A		
	bealli		732.03	Galvanized Box Beam Bridge Railing	Type D	YES	
			714.04	Carbon Steel Bolts and Nuts	Type D	YES	
	n data a nadita a		714.07	Anchor Bolts, Bridge Railing	Type D	YES	
525 225	Bridge Railing,	Linear	728.04	Delineation Devices	Type A		
525.335	Galvanized 3 Rail Box	Foot	731.02	Bearing Pads	Type A		
	Beam		732.03	Galvanized Box Beam Bridge Railing	Type D	YES	
			714.04	Carbon Steel Bolts and Nuts	Type D	YES	
	Dridge Deiling		714.07	Anchor Bolts, Bridge Railing	Type D	YES	
525.34	Bridge Railing, Galvanized 4 Rail Box	Linear	728.04	Delineation Devices	Type A		
323.34	Beam	Foot	731.02	Bearing Pads	Type A		
	Deam		732.03	Galvanized Box Beam Bridge Railing	Type D	YES	
			714.07	Anchor Bolts, Bridge Railing	Type D	YES	
	Duides Dailine		728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES	
525.41	Bridge Railing, Galvanized HD Steel Beam/Fascia Mounted	Linear Foot		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
	Bealifrascia iviounteu		728.04	Delineation Devices	Type A		
			732.04(b)	Steel posts and Components	Type D	YES	
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES	
	Duides Dailine		732.04(b)	Steel posts and Components	Type D	YES	
525.44	Bridge Railing, Galvanized	Linear	732.03	Galvanized Box Beam Bridge Railing	Type D	YES	
	HDSB/Fascia	Foot	714.07	Anchor Bolts, Bridge Railing	Type D	YES	
	Mounted/Steel Tubing		714.04	Carbon Steel Bolts and Nuts	Type D	YES	
			728.04	Delineation Devices	Type A		
			713.01	Bar Reinforcement	Type D	YES	
X	Duideo Deiline		728.04	Delineation Devices	Type A		
525.45	Bridge Railing, Galvanized Steel	Linear	732.03	Galvanized Box Beam Bridge Railing	Type D	YES	
	Tubing/Concrete Combination	Foot	714.04	Carbon Steel Bolts and Nuts	Type D	YES	
	Combination		714.07	Anchor Bolts, Bridge Railing	Type D	YES	
	_		731.03	Elastomeric Material	Type D		

			720.02(4)	Charl Bassa and Their Bassa Bail	T D	VEC	
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES	
525.50	Bridge Railing Repair,	Linear	732.04(b)	Steel posts and Components	Type D	YES	
525.50	Туре І	Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES	
			728.04	Delineation Devices	Type A		
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES	
	Pridgo Pailing Popair	Linear	732.04(b)	Steel posts and Components	Type D	YES	
525.55	Bridge Railing Repair, Type II	Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES	
			728.04	Delineation Devices	Type A		
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES	
			732.04(b)	Steel posts and Components	Type D	YES	
525.60	Bridge Railing Repair, Type III	Linear Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES	
			731.02	Bearing Pads	Type A		
			728.04	Delineation Devices	Type A		
	Duides Dailine	13	514.02	Water Repellent, Silane	APL - TA556		
525.70	Bridge Railing, Concrete F-Shape	Linear	713.01	Bar Reinforcement	Type D	YES	
	Concrete F-Snape	Foot	728.04	Delineation Devices	Type A		
		Sec	tion 616 -	Curbs and Gutters			
			702.04	Emulsified Asphalt	Type A		
616.35	Treated Timber Curb	Linear	729.06	Treated Timber Curb	Type D		
		Foot	726.01	Timber Preservative	Type D		
			Section	619 - Markers			
619.17	Yielding Marker Posts	Each	751.01(a)	Delineator Posts - Steel	Type A	YES	
		Sc	ection 621	Traffic Barriers			
			726.01	Timber Preservative	Type D		
621.15	Plank Rail	Linear	728.01(a)	Wood Posts, Offset Block for (Guard)rail	Type D		
		Foot	728.02(a)	Plank Rail	Type D		
			728.03(a)	Hardware for Plank Rail	Type D		
			713.03	Wire Rope or Cable	Type A	YES	
			728.01(c)	Steel Posts and Post Accessories	Type D	YES	
621.17	Cable Guardrail	Linear Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES	
			728.04	Delineation Devices	Type A		
521.173	Cable Guardrail J-Bolt	Each	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES	
521.174	Cable Guardrail Splice Unit	Each	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES	
621.175	Replacement of Guardrail Cable	Linear Foot	713.03	Wire Rope or Cable	Type A	YES	

Steel Backed Timber Guardrail				728.01(a)	Wood Posts, Offset Block for	Type D	
Steel Backed Timber Foot Type D				720 02/5	(Guard)rail	Tura D	VEC
Foot	621.10	Steel Backed Timber	Linear			, ,	YES
Table Tabl	021.10	Guardrail	Foot				
178.03(c) Steel Posts and Post Accessories Type D YES				728.04		Type A	
Steel Beam Guardrail, Galvanized Foot Type D YES				728.03(e)		Type D	YES
Steel Beam Guardrail, Galvanized Foot				728.01(c)	Steel Posts and Post Accessories	Type D	YES
Foot				728.01(d)	Alternative Blockouts	APL - TA556	
Foot	621 20	Steel Beam Guardrail,	Linear	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts Steel Beam Guardrail, Galvanized/Nested 621.207 HD Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized Galvanized w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized Galvanized w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized Galvanized w/2.4 m (8 feet) Posts and Galvanized Galvanized W/2.4 m (8 feet) Posts and Post Accessories w/2.4 m (8 feet) Posts w/2.4 m (8 f	021.20	Galvanized	Foot	728.03(c)		Type D	YES
Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts Steel Beam Guardrail, Galvanized/Nested 621.207 Balance Guardrail, Galvanized/Nested 621.218 621.219 Steel Beam Guardrail, Galvanized/Nested Foot Foo				728.04	Delineation Devices	Type A	
Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts Steel Beam Guardrail, Galvanized/Nested Foot Foot 728.04(d) Steel Beam and Thrie Beam Rail 728.02(d) Steel Beam and Thrie Beam Rail 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail 728.04(c) Steel Posts and Post Accessories 728.01(c) Steel Posts and Post Accessories 728.02(d) Alternative Blockouts 728.02(d) Steel Beam and Thrie Beam Rail 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail 728.03(c) Steel Beam and Thrie Beam Rail 728.04(c) Steel Posts and Post Accessories 728.01(d) Alternative Blockouts 728.02(d) Steel Beam and Thrie Beam Rail 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail 728.04(d) Alternative Blockouts 728.01(d) Alternative Blockouts 728.01(d) Alternative Blockouts 728.02(d) Steel Beam and Thrie Beam Rail 728.02(d) Steel Beam and Thrie Beam Rail 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail 728.04(d) Steel Beam and Thrie Beam Rail 728.04(d) Alternative Blockouts 728.01(d) Steel Beam and Thrie Beam Rail 728.01(d) Alternative Blockouts 728.01(d) Alternative Blo				728.01(c)	Steel Posts and Post Accessories	Type D	YES
Steel Beam Guardrail, Galvanized w/2.4 m (8 feet) Posts Foot 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.03(c) 128.04 Delineation Devices Type A 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Posts and Post Accessories Type D YES 728.01(c) Steel Posts and Post Accessories Type D YES 728.02(d) Steel Posts and Post Accessories Type D YES 728.01(d) Alternative Blockouts APL - TA556 Total Park (Foot Posts) Total Park		Charl Daniel C. J. "			Alternative Blockouts	APL - TA556	
feet) Posts Foot 728.03(c) 728.04 Delineation Devices Type A 728.04 Type D YES 728.01(c) Type A Polineation Devices Type D YES 728.01(c) Type A Polineation Devices Type D YES 728.01(c) Type D YES 728.01(c) Type D YES 728.01(c) Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.02(d) Type D YES 728.03(c) Type D YES 728.03(c) Type D YES 728.03(c) Type D YES 728.03(c) Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.03(c) Type D YES 728.03(c) Type D YES 728.03(c) Type D YES 728.03(c) Type D YES	C24 205	•	Linear	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
Steel Beam Guardrail, Galvanized/Nested 621.207 Steel Beam Guardrail, Galvanized/Nested Foot Foot Teot Foot	621.205	·	Foot	728.03(c)		Type D	YES
Steel Beam Guardrail, Galvanized/Nested Steel Beam Guardrail, Galvanized/Nested Steel Beam Guardrail, Galvanized/Nested Foot Steel Beam Guardrail, Galvanized/Nested Foot Steel Beam Guardrail, Galvanized/Nested w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized HD Steel Beam Guardrail, Galvanized Galvanized/Nested w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized Galvanized/Nested w/2.4 m (8 feet) Posts Foot Foo				728.04	Delineation Devices	Type A	
Steel Beam Guardrail, Galvanized/Nested Steel Beam Guardrail, Galvanized/Nested APL - TA556 728.03(c) Total Parameter Foot Steel Beam Guardrail, Galvanized/Nested w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts Foot Total Parameter Foot Steel Beam and Thrie Beam Rail Type D YES Total National Type D YES				728.01(c)	Steel Posts and Post Accessories		YES
Steel Beam Guardrail, Galvanized/Nested Steel Beam Guardrail, Galvanized/Nested Steel Beam Guardrail, Galvanized/Nested Steel Beam Guardrail, Galvanized/Nested W/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized Galvanized/Nested W/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized HD Steel Beam Guardrail, Galvanized W/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2.4 m (8 feet) Posts W/2.4 m (8 feet)					Alternative Blockouts		
Galvanized/Nested Foot Foot 728.03(c) 728.03(c) Foot 728.03(c) Foot 728.03(c) Foot 728.04 Delineation Devices Type A Poet Posts and Post Accessories Type D YES 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.01(c) Steel Posts and Post Accessories Type D YES 728.01(d) Alternative Blockouts Type D YES 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.01(c) Steel Posts and Post Accessories Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES		Steel Beam Guardrail,	Linear				YES
Accessories Type A Total Parameter Foot HD Steel Beam Guardrail, Galvanized HD Ste	621.206	·	Foot				
Steel Beam Guardrail, Galvanized/Nested w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized HD St				728.03(c)		Type D	YES
Steel Beam Guardrail, Galvanized/Nested w/2.4 m (8 feet) Posts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES 728.04 Delineation Devices Type A 728.01(d) Alternative Blockouts APL - TA556 728.03(c) Type A 728.01(d) Alternative Blockouts APL - TA556 728.01(d) Alternative Blockouts APL - TA556 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.03(c) Type D Type D Type D 728.03(c) Ty				728.04	Delineation Devices	Type A	
Steel Beam Guardrail, Galvanized/Nested w/2.4 m (8 feet) Posts Foot Foot				728.01(c)	Steel Posts and Post Accessories	Type D	YES
Galvanized/Nested w/2.4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized HD Steel Beam Guardrail, Type D HD Steel Beam Guardrail, Type D HD Steel Beam				728.01(d)	Alternative Blockouts	APL - TA556	
Foot 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES Tool 728.04 Delineation Devices Type A Foot 728.01(c) Steel Posts and Post Accessories Type D YES Tool 728.01(d) Alternative Blockouts APL - TA556 Tool 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES Tool 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES Tool 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES Tool 728.04 Delineation Devices Type A Tope D YES Tool 728.01(d) Alternative Blockouts APL - TA556 Tool 728.01(d) Alternative Blockouts APL - TA556 Tool 728.01(d) Steel Beam and Thrie Beam Rail Type D YES Tool 728.01(d) Alternative Blockouts APL - TA556 Tool 728.02(d) Steel Beam and Thrie Beam Rail Type D YES Tool 728.03(c) Hardware for Cable, Steel Beam, Type D YES	624 207		Linear	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
728.04 Delineation Devices Type A 728.01(c) Steel Posts and Post Accessories Type D YES 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES 728.04 Delineation Devices Type A 728.03(c) Type D YES 728.01(d) Alternative Blockouts APL - TA556 728.03(c) Steel Posts and Post Accessories Type A 728.01(d) Alternative Blockouts APL - TA556 728.01(d) Alternative Blockouts APL - TA556 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.03(c) Hardware for Cable, Steel Beam, Type D YES	621.207		Foot	728.03(c)		Type D	YES
HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Foot Foot Type D YES 728.01(c) Steel Posts and Post Accessories Type D YES 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.03(c) Hardware for Cable, Steel Beam, Type D YES 728.03(c) Type D YES				728.04	Delineation Devices	Type A	
HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Guardrail, Galvanized W/2 4 m (8 feet) Posts HD Steel Beam Foot Foot T28.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES 728.01(d) Alternative Blockouts APL - TA556 Type D YES				728.01(c)	Steel Posts and Post Accessories		YES
HD Steel Beam Guardrail, Galvanized Foot Foot Foot Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES 728.03(c) Hardware for Cable, Steel Beam, and Thrie Beam Rail Type D YES 728.01(c) Steel Posts and Post Accessories Type A 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.02(d) Hardware for Cable, Steel Beam, Type D YES					Alternative Blockouts	- ' '	
Guardrail, Galvanized Foot Foot Foot Foot Foot Foot Foot Foo	624.24	HD Steel Beam	Linear		Steel Beam and Thrie Beam Rail		YES
728.04 Delineation Devices Type A 728.04 Delineation Devices Type A 728.01(c) Steel Posts and Post Accessories Type D YES 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.02(d) Hardware for Cable, Steel Beam, Type D YES	621.21	621.21 I			Hardware for Cable, Steel Beam,		
HD Steel Beam Guardrail, Galvanized w/2 4 m (8 feet) Posts Guardrail (Steel Posts and Post Accessories Type D YES 728.01(c) Steel Posts and Post Accessories Type D YES 728.01(d) Alternative Blockouts APL - TA556 728.02(d) Steel Beam and Thrie Beam Rail Type D YES 728.03(c) Hardware for Cable, Steel Beam, Type D YES				728.04		Type A	
HD Steel Beam Guardrail, Galvanized w/2 4 m (8 feet) Posts Guardrail, Galvanized Toot Guardrail, Galvanized Toot Foot Tool Tope D Tope D					Steel Posts and Post Accessories		YES
621.215 Guardrail, Galvanized w/2 4 m (8 feet) Posts HD Steel Beam Linear 728.02(d) Steel Beam and Thrie Beam Rail Type D YES Type D YES						, ,	-
621.215 Guardrail, Galvanized Foot Hardware for Cable, Steel Beam, Type D VES	604.01=		Linear				YES
	621.215	·					
728.04 Delineation Devices Type A				728.04		Type A	

			728.01(c)	Steel Posts and Post Accessories	Type D	YES
			728.01(d)	Alternative Blockouts	APL - TA556	
	HD Steel Beam	Linear	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
621.216	Guardrail,	Foot		Hardware for Cable, Steel Beam,		
	Galvanized/Nested		728.03(c)	and Thrie Beam Rail	Type D	YES
			728.04	Delineation Devices	Type A	
			728.01(c)	Steel Posts and Post Accessories	Type D	YES
	HD Steel Beam		728.01(d)	Alternative Blockouts	APL - TA556	
624 247	Guardrail,	Linear	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
621.217	Galvanized/Nested w/2.4 m (8 feet) Posts	Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			728.04	Delineation Devices	Type A	
621.218	Steel Beam Guardrail Delineator	Each	728.04	Delineation Devices	Type A	
621.219	Steel Beam Guardrail Offset Block	Each	728.01(d)	Alternative Blockouts	APL - TA556	
			728.01(c)	Steel Posts and Post Accessories	Type D	YES
			728.01(d)	Alternative Blockouts	APL - TA556	
621.25	Thrie Beam Guardrail	Linear	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
021.25	Thrie Beam Guardraii	Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			728.04	Delineation Devices	Type A	
			728.01(c)	Steel Posts and Post Accessories	Type D	YES
624.22	Day Day Co. 1. II	Linear	728.02(e)	Box Beam Rail	Type D	YES
621.30	Box Beam Guardrail	Foot	728.03(d)	Hardware for Box Beam Rail	Type D	YES
			728.04	Delineation Devices	Type A	
			728.01(c)	Steel Posts and Post Accessories	Type D	YES
			728.01(d)	Alternative Blockouts	APL - TA556	
C24.25	Steel Beam Median	Linear	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
621.35	Barrier	Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			728.04	Delineation Devices	Type A	
			728.01(c)	Steel Posts and Post Accessories	Type D	YES
			728.01(d)	Alternative Blockouts	APL - TA556	
621.40	Thrie Beam Median	Linear	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
021.40	Barrier	Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
	, ·		728.04	Delineation Devices	Type A	
621.45	Concrete Median	Linear	621.11	Delineation for Concrete Median Barrier	APL - TA556	
	Barrier	Foot	713.01	Bar Reinforcement	Type D	YES
621.50	Manufactured Terminal Section, Flared	Each	728.06	Manufactured Terminal Sections	APL - TA556	YES

621.51	Manufactured Terminal Section, Tangent	Each	728.06	Manufactured Terminal Sections	APL - TA556	YES
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
621.53	Terminal Connector for Steel Beam Guardrail	Each	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
	Madian Damian		728.06	Manufactured Terminal Sections	APL - TA556	YES
621.55	Median Barrier Terminal	Each	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
621.56	Energy Absorption Attenuator	Each	728.07	Energy Absorption Attenuator	APL - TA556	
621.57	Energy Absorption Attenuator, Sand-Filled Plastic Barrel	Each	728.07	Energy Absorption Attenuator	APL - TA556	
621.59	Energy Absorption Attenuator, Liquid Filled	Each	728.07	Energy Absorption Attenuator	APL - TA556	
621.60	Anchor for Steel Beam Rail	Each	728.05	Concrete Anchors	Type A	YES
621.61	Anchor for Steel to Box Beam Transition	Each	728.05	Concrete Anchors	Type A	
621.65	Anchor For Cable Rail	Each	728.05	Concrete Anchors	Type A	
621.66	Anchor for Cable Rail at Openings	Each	728.05	Concrete Anchors	Type A	
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
	Guardrail Approach		728.01(a)	Wood Post and Post Accessories	Type D	
621.70	Section, Galvanized	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
	Type I		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
	Guardrail Approach		728.01(a)	Wood Post and Post Accessories	Type D	
621.71	Section, Galvanized	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
	Type II		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
	Guardrail Approach		728.02(e)	Box Beam Rail	Type D	YES
621.72	Section, Galvanized	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
021.72	Type II	Latii	728.03(d)	Hardware for Box Beam Rail	Type D	YES
	Турс П		728.04	Delineation Devices	Type A	
	Guardrail Approach		728.01(c)	Steel Posts and Accessories	Type D	YES
621.725	Section, Galvanized 3	Each	728.02(e)	Box Beam Rail	Type D	YES
	Rail Box Beam		728.03(d)	Hardware for Box Beam Rail	Type D	YES
	Guardrail Approach		728.01(c)	Steel Posts and Post Accessories	Type D	YES
621.726	Guardrail Approach Section, Galvanized 3 Rail Box Beam w/Curb	Each	728.01(c) 728.02(e)	Steel Posts and Post Accessories Box Beam Rail	Type D Type D	YES YES

	Guardrail Approach		728.01(c)	Steel Posts and Post Accessories	Type D	YES
621.73	Section, Galvanized 4	Each	728.02(e)	Box Beam Rail	Type D	YES
	Rail Box Beam		728.03(d)	Hardware for Box Beam Rail	Type D	YES
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
	Consulus il Ausurus salt		728.01(c)	Steel Posts and Post Accessories	Type D	YES
621.735	Guardrail Approach	Each	728.01(d)	Alternative Blockouts	APL - TA556	
	Section, Steel Beam		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
	Guardrail Approach		728.01(c)	Steel Posts and Post Accessories	Type D	YES
621.736	Section, Steel Beam	Each	728.01(d)	Alternative Blockouts	APL - TA556	
	w/2.4 m (8 feet) Posts		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			726.01	Timber Preservative	Type D	
			728.01(a)	Wood Post and Post Accessories	Type D	
	Guardrail Approach		728.01(d)	Alternative Blockouts	APL - TA556	
621.737	Section, Galvanized HD	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
	Steel Beam		728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
			728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			726.01	Timber Preservative	Type D	
			728.01(a)	Wood Post and Post Accessories	Type D	
	Guardrail Approach		728.01(d)	Alternative Blockouts	APL - TA556	
621.738	Section, Galvanized HD	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
	Steel Beam w/2.4 m (8 feet) Posts		728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
	ieet/ Posts		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
	Guardrail Approach		728.01(a)	Wood Post and Post Accessories	Type D	
621.746	Section to Concrete	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
	Bridge Railing, TL-2		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
			728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
	Guardrail Approach		728.01(a)	Wood Post and Post Accessories	Type D	
621.747	Section to Concrete	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
	Bridge Railing, TL-3		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
	Committee ! A committee		728.01(a)	Wood Post and Post Accessories	Type D	
	Guardrail Approach		728.01(c)	Steel Posts and Post Accessories	Type D	YES
621.748	Section to Concrete Combination Bridge	Each	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
	Railing, TL-3		728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES
621.75	Remove and Reset Guardrail	Linear Foot	728.03(c)	Hardware for Cable, Steel Beam, and Thrie Beam Rail	Type D	YES

621.76	Replace Guardrail Post Assembly	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
621.77	Replace Guardrail Beam Unit	Each	728.02(d)	Steel Beam and Thrie Beam Rail	Type D	YES
621.85	Guide Posts	Each	728.01(c)	Steel Posts and Post Accessories	Type D	YES
021.03	Guide 1 03t3	Lacii	750.08	Retroreflective Sheeting	Type A	
		•	Section 67	5 - Traffic Signs		
		Square	750.03	Flat Sheet Aluminum	Type A	
675.20	Traffic Sign, Type A	Foot	750.08	Retroreflective Sheeting	Type A	
		1000	750.12	Traffic Sign Assembly Hardware	Type A	
			750.02	Extruded Aluminum Panels	Type A	
675.21	Traffic Sign, Type B	Square	750.08	Retroreflective Sheeting	Type A	
0/3.21	Traffic Sign, Type B	Foot	750.11	Extruded Aluminum Molding	Type A	
			750.12	Traffic Sign Assembly Hardware	Type A	
675.301	Flanged Channel Sign	Linear	750.01(a)	Sign Posts-Steel	Type D	YES
6/5.301	Post	Foot	750.12	Traffic Sign Assembly Hardware	Type A	
	M/ Chana Chaal Cian		750.01(a)	Sign Posts-Steel	Type D	YES
675.31	W-Shape Steel Sign	Pound	750.01(d)	Sign Posts-Sleeves	Type D	YES
	Post		750.12	Assembly Hardware	Type A	
675.22	Tubular Aluminum Sign	D	750.01(b)	Sign Posts- Aluminum	Type A	
675.32	Post	Pound	750.12	Traffic Sign Assembly Hardware	Type A	
			714.02	Structural Steel	Type D	YES
675.33	Tubular Steel Sign Post	Pound	714.05	High-Strength Bolts, Nuts & Washers	Type D	YES
			750.01(a)	Sign Posts-Steel	Type D	YES
	Control To be Class Boot	1	750.01(a)	Sign Posts-Steel	Type D	YES
675.341	Square Tube Sign Post	Linear	750.01(d)	Sign Posts - Sleeves	Type D	YES
	and Anchor	Foot	750.12	Traffic Sign Assembly Hardware	Type A	YES
675.40	Foundation for W- Shape Steel Post, 18 Inch Diameter	Each	713.01	Bar Reinforcement	Type D	YES
675.41	Foundation for W- Shape Steel Post, 24 Inch Diameter	Each	713.01	Bar Reinforcement	Type D	YES
675.42	Foundation for W- Shape Steel Post, 30 Inch Diameter	Each	713.01	Bar Reinforcement	Type D	YES
675.43	Foundation for Tubular Steel Post	Each	750.01(a)	Sign Posts-Steel	Type D	YES
675.60	Erecting Salvaged Signs	Each	750.12	Traffic Sign Assembly Hardware	Type A	YES
			Section 67	76 - Delineators		
			750.03	Flat Sheet Aluminum	Type A	
			750.08	Retroreflective Sheeting	Type A	

Fost Tsun	676.10	Delineator With Steel	Each	751.01	Delineator Posts	Type A	VEC
Remove and Replace Reflector Unit Too.03 Flat Sheet Aluminum Type A Too.04 Retroreflective Sheeting Type A Too.05 Retroreflective Sheeting Type A Too.06 Assembly Hardware Type A Too.06 Assembly Hardware Type A Too.07 Retroreflective Sheeting Type A Too.08 Retroreflective Sheeting Type A Too.09 Retroreflective Sheeting Type A Too.00 Retroreflective Sheetin		Post		751.01(a)	Delineator Posts- Steel	Type A	YES
Remove and Replace Reflector Unit Type A							
Reflector Unit Reflector Sheeting Type A Retroreflective Sheeting Type A Reflector Unit Reflector Sheeting Type A Reflector Unit Reflector Sheeting Type A Retroreflective Sheeting Type A Reflector Unit					· · · · · · · · · · · · · · · · · · ·		
Reflector Unit 751.06	676 15	Remove and Replace	Each				
Delineator With Flexible Post Delineator With Flexible Post Each Tyou A Tyo	0/0.15	Reflector Unit	EdCII				
Delineator With Flexible Post Type A Type A							
Flexible Post Flexible Post Total Of Assembly Hardware Type A Type A Type A		Delineator With					
751.01(b) Delineator Posts - Flexible Type A	676.20		Each				
		TICKIDIC TOSC		-			

APPENDIX B

Supplier Request Form



Umbrella Certification Program - Supplier Request Form

Agency of Transportation				virans.vermont.go
Supplier Name:	·			
Physical Address:				
Mailing Address:		City:	State:	Zip:
The above supplier requests appro	oval to participate in the VTrans'	Umbrella Certification	n Program (UCP) und	ler the following
Sections of the Standard Specifica	utions for Construction:			
			\wedge	
All materials stockpiled at the abo	ove facility meet the specification	s of the respective Sec	ctions listed above?	
☐ Yes ☐ No If no, please inc	lude an inventory plan describing	s how those materials	that do not meet the V	VTrans' Standard
Specifications for Construction w	ill be stockpiled to ensure they wi	ill not be used on VT1	rans' projects.	
I have read the <i>Umbrella Certifica</i> certification records for all applica <i>Construction</i> also identified above Construction and Contract Docum requirements will be maintained for	able pay items and materials associate. This will include all certification nents, including "Buy America" c	ciated with the section on requirements as spectifications and ARE	ns of the <i>Standard Spe</i> ecified in the Standard EMA declarations, as	ecifications for d Specifications for
Furthermore, I understand that cer	rtification records are subject to in	nspection by VTrans'	representatives upon	demand and failure to
produce or maintain the certificati	ion records for all materials used	on VTrans' projects n	nay result in removal	from the Umbrella
Certification Program and/or remo	oval of the material from VTrans'	projects.		
Name (Print):	Signature: _			
Title:		g:		
Email:	Phone:			

Form may be submitted electronically to:

APPENDIX C

Project Specific Form



Agency of Transportation

Umbrella Certification Program - Project Specific Form

vtrans.vermont.gov

Supplier Name: _____ Material to be installed on: Project Name & Number: Contract Number: in accordance with Section (only one Section per form): For Pay Items (only pay items associated with the chosen Section above may be included): Pay Item Number & Description Quantity Units Associated Material Codes (all material codes associated with the pay items identified above must be included on this sheet): Material Code & Description I hereby certify that the supplier identified above will maintain all certification records for all applicable pay items and materials codes associated with sections of the Standard Specifications for Construction also identified above. This will include all certification requirements as specified in the Standard Specifications for Construction, including "Buy America" certifications and AREMA declarations, as applicable. Furthermore, I understand that certification records are subject to inspection by VTrans' representatives upon demand and failure to produce or maintain the certification records for all materials used on VTrans' projects may result in removal from the Umbrella Certification Program and/or removal of the material from VTrans' projects. Name (Print): _______Signature: ____

Form shall be submitted via DocExpress for the respective project.

Representing:

APPENDIX D

Audit Form



Agency of Transportation

Umbrella Certification Program - Audit Form

vtrans.vermont.gov

Supplier Name: ____ Material installed on: Project Name & Number: In compliance with inventory plan (only as required)? ☐ Yes ☐ No ☐ Not Applicable Pay Item Pay Item Description Quantity Units Number Material Code: Material Description: Certification Type: Yes Certification form supplied? Certificate of Compliance present and complete (for Type D Certifications only)? Certificate of Analysis present and complete (for Type D Certifications only)? "Buy America" certification present and complete (only as applicable)? AREMA Declaration present and complete (only as applicable)? Material Code: Material Description: Certification Type: Yes N/A Certification form supplied? Certificate of Compliance present and complete (for Type D Certifications only)? Certificate of Analysis present and complete (for Type D Certifications only)? "Buy America" certification present and complete (only as applicable)? AREMA Declaration present and complete (only as applicable)? Material Code: Material Description: Certification Type: Yes No N/A Certification form supplied? Certificate of Compliance present and complete (for Type D Certifications only)? Certificate of Analysis present and complete (for Type D Certifications only)? "Buy America" certification present and complete (only as applicable)? AREMA Declaration present and complete (only as applicable)? Material Code: Material Description: Certification Type: N/A Yes Certification form supplied? Certificate of Compliance present and complete (for Type D Certifications only)? Certificate of Analysis present and complete (for Type D Certifications only)? "Buy America" certification present and complete (only as applicable)? AREMA Declaration present and complete (only as applicable)? Material Code: Material Description: Certification Type: Yes N/A Certification form supplied? Certificate of Compliance present and complete (for Type D Certifications only)? Certificate of Analysis present and complete (for Type D Certifications only)? "Buy America" certification present and complete (only as applicable)? AREMA Declaration present and complete (only as applicable)? Completed by: Reviewed by: